JAP15 Rec'd PCT/PTO 11 AUG 2006

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 095809.58110US DESIGNATED/ELECTED OFFICE (DO/EO/US) Of (if know see 37 CFR 1.5) To Be Assigned CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DAT PRIORITY DATE CLAIMED February 12, 2004 PCT/EP2005/001339 February 10, 2005 TITLE OF INVENTION DEVICE FOR DETERMINING THE STATE OF A SOOT PARTICLE FILTER APPLICANT(S) FOR DO/EO/US Thomas BIRKHOFER, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. 冈 This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3. \boxtimes and (21) indicated below. The US has been elected (Article 31). 4. 冈 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) \boxtimes is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. П Is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. 冈 is attached hereto. a. \boxtimes has been previously submitted under 35 U.S.C. 154(d)(4). b. П Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. Ç. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98, International Search Report dated August 25, 2005 including 11. English Translation of relevant portion and PCT/ISA/237 (Ten (10) pages), German Search Report dated April 18, 2005 with English Translation of relevant portion (Eight (8) pages), PTO-1449 with Sixteen (16) non-US references. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. A preliminary amendment (Ten (10) pages) with Abstract. 13. \boxtimes An Application Data Sheet under 37 CFR 1.76 (Six (6) pages). 14. M Submission of substitute specification including Clean and Marked-Up Versions. 15. X A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17. П A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Seven (7) sheets of drawings showing Figs. 1-17

20.

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Other items or information:

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PTO-1390 (Rev. 02-2005)
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U.S. APPLICATION NO. (15 known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2005/001339						ATTORNEY'S DOCKET NUMBER	
				005/001339		.58110US	
		have been submit		\$300.00	CALCULATIONS	PTO USE ONLY	
21. Sasic national fee (37 C.F.R. §1.492(a))				\$300.00			
22. Examination fee (37 C.F.R. §1.492(c))							
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy							
provisions o	f PCT Article						
All other situation	ıs	\$200.00					
23. 🔀 Sea	rch fee (37 C.						
If the written opinion of the ISA/US or the International preliminary examination							
report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0							
Search fee (37 C	FR 1.445(a)(2						
the USPTO as an International Searching Authority							
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB							
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a.							
b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.58110US) in the amount of \$ to cover the above fees.							
A duplicate copy of this sheet is enclosed.							
The Commissioner is becally sufficient to shows any additional feet which was be assured as additional feet which							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.58110US).							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not							
be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed							
and granted to restore the International Application to pending status.							
OFNE ALL CORPECTONDENCE TO:							
SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP							
Intellectual Property Group							
P.O. Box 14300 James F. McKepwn							
	ngton, D.C. 20	0044-4300		NAME			
Tel No. (202) 624-2500 / 25,406							
Fax No. (202) 628-8844 / REGISTRATION NUMBER August 11, 2006							
	DATE						

10/589231 (AP11 Rec'd PCT/PTO 11 AUG 2006)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

: To Be Assigned

Confirmation No. : TBA

First Named Inventor : Thomas BIRKHOFER

Filed

: August 11, 2006 : To Be Assigned

TC/A.U. Examiner

: To Be Assigned

Docket No.

: 095309.58110US

Customer No.

: 23911

Title

: Device for Determining the State of a Soot Particle Filter

SUBMISSION OF SUBSTITUTE SPECIFICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached are a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

August 11, 2006

ames F. McKeown

Registration No. 25,406

Respectfully submitted,

CROWELL & MORING LLP Intellectual Property Group

P.O. Box 14300

Washington, DC 20044-4300 Telephone No.: (202) 624-2500

Facsimile No.: (202) 628-8844

JFM:lrd